#### **EXPRESS MAIL CERTIFICATE: EL988554735US**

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Date of Signature

and Deposit: November 10, 2003

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Unassigned

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MARITZA O'NEILL

(Typed/Printed Name)

Art Unit:

Examiner:

## **CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. 1.10**

Applicants:

Newman et al.

Serial No.:

10/611,780

Filed:

June 30, 2003

For:

BARIUM CADMIUM TANTALUM-BASED COMPOUND HAVING HIGH DIELECTRIC PROPERTIES AND METHODS OF MAKING

THE SAME

Attorney Docket No.: 130588.00011

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### Type of Filing:

- 1) Supplemental Information Disclosure Statement
- 2) Form PTO-1449
- 3) References (2)
- 4) Return postcard



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Newman et al.

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THE SAME

Art Unit:

Unassigned

Examiner:

Unassigned

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97

Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313

Dear Sir:

Applicant hereby brings to the attention of the Examiner the documents noted on the accompanying Form PTO-1449. This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action.

It is respectfully requested that the information cited herein be expressly considered during the prosecution of this application and made of record on any patent to issue therefrom.

No fee is believed due with this mailing. The Commissioner is hereby authorized to charge any cost that may be due to Deposit Account No. 17-0055.

Respectfully submitted,

QUARLES & BRADY STREICH LANG, LLP

Dated: November /, 2003

Robert D. Atkins, Reg. No. 34,288

Attorney Docket No. 130588.00011

Address all correspondence to:

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Facsimile: 602-229-5690 E-mail: ratkins@quarles.com U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ler the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet | 1

TRADE WAR

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/611,780		
Filing Date	6/30/03		
First Named Inventor	Nathan Newman		
Art Unit			
Examiner Name			
Attorney Docket Number	130588 00011		

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	1
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Examiner	Date	
Signature	Considered	{
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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				Application Numb r	10/611,780		
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STA	STATEMENT BY APPLICANT		First Named Inventor	Nathan Newman			
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(Use as many sheets as necessary)		Examiner Name					
Sheet	2	of	2	Attorney Docket Number	130588.00011		

		OTHER REION ART NON BATENIT LITER ATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	Α	Galasso et al., "Ordering in Compounds of the A (B' 0.33 Ta0.67)O3 Type", Inorganic Chemistry, 2(3):482-484, 1963	
	В	Gangull et al., "Preparation and Dielectric Properties of Zinc and Cadmium Doped Barium Tantalates, Ba3Zn1-xCdxTa2O9", Solid State Comm., 94(1):13-16, 1995	
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Examiner	Date	-	
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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